

## University of Virginia Athletics Compliance Charter

National Collegiate Athletic Association (“NCAA”) Constitution, Article 6 states that “[t]he control and responsibility for the conduct of intercollegiate athletics shall be exercised by the institution itself and the division and conference of which it is a member.” Atlantic Coast Conference (“ACC”) Bylaw 1.3 states that each institution “is responsible for conducting its intercollegiate athletics program in compliance with rules and regulations of the NCAA and the Conference.”

NCAA Constitution, Article 6 states that the President of the University “has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board in control of that program.” ACC Bylaw 1.3 states that the President of the University is “ultimately responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures.”

NCAA Bylaw 8.01.3 states that the University “shall monitor its programs to ensure compliance and to identify and report instances in which compliance has not been achieved.” Moreover, it notes that the University “shall cooperate fully with any enforcement efforts and shall take appropriate corrective actions, as necessary.”

ACC Bylaw 1.3 states that the University’s “responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the [University].”

The University Board of Visitors appoints the President of the University. The President has ultimate responsibility for the Athletics Department. The President delegates the daily oversight of the Athletics Department to the Director of Athletics. The Director of Athletics entrusts the Athletics Compliance Office to ensure the University is maintaining institutional control and a culture of compliance.

The Athletics Compliance Office ensures that the intercollegiate athletics program operates within the rules and regulations of the NCAA, ACC and University. The Athletics Compliance Office develops and maintains a compliance program that educates constituents about NCAA and ACC rules, monitors any/all activity and reports NCAA rule violations.

The Athletics Compliance Office works with the external partners below to assist in these processes.

**Faculty Athletics Representative (“FAR”):** The FAR serves as a liaison between the University and the Athletics Department, especially on topics related to academics, compliance and student-athlete well-being. To carry out this role, the FAR duties include, but are not limited to, reviewing and approving student-athlete grade distribution, grade changes and independent studies; reviewing and approving student-athlete grade changes; assisting in reviewing potential academic misconduct and impermissible academic assistance issues; and being involved in the

process of approving participation in outside competition.

**Office of Undergraduate Admissions (“Admissions”):** Admissions is solely responsible for determining which students are admitted to the University. The Athletics Department designates liaisons who may speak with Admissions regarding a prospective student-athlete’s potential admission.

**University Registrar (“UREG”):** UREG certifies student-athlete academic eligibility (initial, continuing and transfer) and confirms full-time enrollment. UREG also assists with completing the yearly Academic Progress Rate (“APR”) submission.

**Student Financial Services (“SFS”):** SFS disburses and certifies athletic, need-based, non-need, and outside aid for every student-athlete. SFS also assists with ensuring the renewal/non-renewal process and issuance of academic achievement awards adhere to NCAA rules.

**Athletic Academic Affairs (“Academic Affairs”):** Academic Affairs collaboratively works with UREG and the Athletics Compliance Office to monitor student-athlete continuing eligibility.

**Institutional Research and Analytics (“IRA”):** IRA is responsible for tracking and reporting the Graduation Success Rate.

**Office of Audit and Compliance (“Audit & Compliance”):** Audit and Compliance conducts regular audits of the Athletic Department’s policies and procedures.

**Executive Vice President and Chief Operating Officer and Vice President for Institutional Compliance:** Once a year, the Athletics Compliance Office will update the Executive Vice President and Chief Operating Officer, the Assistant Vice President for Institutional Compliance, and University President’s Chief of Staff about any athletics compliance concerns, reporting requirements, NCAA violations, and the results of any external compliance reviews. The Athletics Compliance Office will regularly communicate with the Assistant Vice President for Institutional Compliance about updates to NCAA rules, significant athletics compliance issues and concerns that may impact the institution and various other issues that may impact institutional compliance.